



## + ISA Account Application

Mandatory fields marked with\*

To open a stocks and shares ISA from Lloyds TSB Share Dealing please complete and sign this application form and post it to the address in Section D. Please read this form in conjunction with the General Terms and Conditions together with the Terms and Conditions for the Lloyds TSB Share Dealing ISA. We will also open a Nominee account free of annual charges while you hold an ISA. You do not need to complete a Nominee application form.

Please enter your Prospect number; or your Lloyds TSB Share Dealing account number if you are an existing customer

If you have any questions about completing this form, please call Customer Services on **0845 300 2151** or apply in less than 10 minutes at our secure website at [www.lloydstsbsharedealing.com](http://www.lloydstsbsharedealing.com).

### Section A Your personal details

Title\* Mr  Mrs  Miss  Ms  Other

Surname\*

Forename(s)\*

Date of birth\*         Have you moved address in the last 18 months?\* Yes  No

Permanent residential address\*

Previous address **If yes please complete the following**

Postcode

Postcode

Daytime phone\*

Evening phone\*

Mobile phone

E-mail address

**Please confirm whether you have a National Insurance Number\***  
Please note that you must either fill in your National Insurance Number or declare that you do not have one in order for us to process your application.

Yes I have a National Insurance Number

No I hereby declare that I do not have a National Insurance Number

Please enter your National Insurance Number here

Nationality\*

Town and Country of birth\*

Mother's maiden name (for security purposes)\*

Does your employer require copy contract notes?\* Yes  No

**If yes please complete the following**

Company name

Contact name

Address and postcode



## Section C Please state how you wish to open your ISA

Please tick one or more boxes

1. I enclose a cheque in my own name for £  payable to 'Lloyds TSB Bank plc' to subscribe to my ISA. I understand that this will be returned to me should my application be unsuccessful.
2. I currently have a Lloyds TSB Share Dealing Nominee account and would like to transfer £  from this account to subscribe to my ISA. I understand the transfer will only take place once my application has been accepted.
3. I have completed the request to make a payment or regular payments (minimum £50) from my nominated bank account to my ISA in Section B of this application form. If making regular payments, I understand these will be taken each month, on 1st, 7th, 15th or 23rd of the month, and will begin once my application has been accepted.
4. I wish to credit funds by Maestro or VISA Delta Debit Card to my ISA. You can do this on the secure website or by contacting Customer Services on **0845 300 2151** once your application has been accepted.
5. I wish to open my ISA by transferring from another ISA Manager. I have completed and signed the enclosed Lloyds TSB Share Dealing ISA Transfer Form (form E).
6. I wish to transfer shares from:
  - a) **a savings related share option scheme** – I have provided a copy of the Notice of Exercise of Option to prove that the shares have been transferred from this type of scheme. (You must transfer the shares into an ISA within 90 days of the exercise of option date)
  - b) **a share incentive plan** – I have provided a copy of the notice of award where I have been awarded free, partnership and/or matching shares. If I have received dividend shares, I have provided a copy of the notice of acquisition. You must transfer an employee share incentive plan into an ISA within 90 days after the shares ceased to be subject to the plan.

## Section D Declaration

### Please read this section carefully before signing the Declaration

I apply to subscribe for a Lloyds TSB Share Dealing stocks and shares ISA for the tax year  (and each subsequent year thereafter until further notice although I am not obliged to do so).

I declare that:

- All subscriptions made, or to be made, belong to me;
- I declare that I am 18 years of age or over;
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Lloyds TSB Bank plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and stocks and shares ISA in the same tax year.
- I have not subscribed to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I authorise Lloyds TSB Bank plc:
  - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
  - to make on my behalf any claims to relief from tax in respect of ISA investments.
- Where applicable, I appoint Lloyds TSB Bank plc as my agent to undertake the transactions enclosed with this declaration.
- I agree to be bound by the Lloyds TSB Share Dealing General Terms and Conditions and ISA Terms and Conditions and will promptly inform you of any changes in my circumstances.
- I accept that Lloyds TSB Bank plc may in its absolute discretion decline this application without reason being given.
- I acknowledge that Lloyds TSB Bank plc in connection with the Lloyds TSB Share Dealing Service does not offer legal or tax advice and offers an execution only investment service.
- I authorise the instruction to my bank or building society to pay Lloyds Bank plc Direct Debit from the nominated account.
- I permit Lloyds TSB Share Dealing to submit the data provided by me in this application to Experian or any other external database used by Lloyds TSB Bank plc for the purposes of verifying my identity. A record of the search will be retained.
- I declare the statements completed on this application are true to the best of my knowledge and belief.

I agree to you holding and using information you obtain about me in connection with the Lloyds TSB Share Dealing Nominee account, Lloyds TSB Share Dealing ISA in accordance with the clauses entitled "Your personal data and Lloyds Banking Group" in our General Terms and Conditions. In particular, you may share my information with other members of the Lloyds Banking group of companies or any third party who agrees to apply the same level of protection as you are required to apply to Personal Information, to be used in the development of services. If I ask, you will tell me what information you hold about me and provide a copy in line with your obligations under the Data Protection Act (a fee is payable). I will let you know if I think any information you hold about me is inaccurate, so that you can correct it.

I agree Lloyds TSB Bank plc may also contact me about other services and products which may be of interest to me. (If you do not want to be contacted, you can inform us at any time).

I do not want to be contacted (please tick this box)

Your signature\*

Date

**Please return your application form in the enclosed pre-paid envelope or post it to:** Lloyds TSB Share Dealing, PO Box 4605, Aspect House, Spencer Road, Worthing BN99 6QY.

### What will happen now?

- 1 If you are not an existing customer and your application is accepted, you will receive the following from Lloyds TSB Share Dealing:
  - Your individual Lloyds TSB Share Dealing account number.
  - Under separate cover, your individual PIN, which you will need to change the first time you use the Lloyds TSB Share Dealing service.

We will also open for you a Lloyds TSB Share Dealing Nominee account so that you can deal in shares outside your ISA. There will be no annual account fee for this Nominee account, while you continue to hold a Lloyds TSB Share Dealing ISA.
- 2 If you are an existing customer, you will receive notification that your ISA has been activated, and will be able to use your existing Lloyds TSB Share Dealing account number and PIN to access your new account.

Please continue overleaf

## Section E Promotional Code

If you have a promotional code please enter it here:

If you are new to Lloyds TSB Share Dealing, where did you hear about us?  
Please tick the boxes that apply:

Please give details

Lloyds TSB branch	<input type="checkbox"/>	<input type="text"/>
Relationship Manager	<input type="checkbox"/>	<input type="text"/>
Lloydstsb.com	<input type="checkbox"/>	<input type="text"/>
Lloyds TSB Staff News	<input type="checkbox"/>	<input type="text"/>
Internet	<input type="checkbox"/>	<input type="text"/>
Magazine	<input type="checkbox"/>	<input type="text"/>
Mailshot	<input type="checkbox"/>	<input type="text"/>
Word of mouth	<input type="checkbox"/>	<input type="text"/>
Other	<input type="checkbox"/>	<input type="text"/>

## Checklist

Please use this checklist to ensure that you have completed the ISA account application form in full and enclosed all supporting documents.

**Personal details**  Ensure that you have completed all your personal details including address, postcode, date of birth and National Insurance Number.

If you have been at each of your current and previous addresses for less than 18 months, or are not an EU citizen, please include the following documents with your application:

**Utility bills/ identification**  A utility bill showing your name and address (which is less than 3 months old). Plus one of the following: (a) Current HM Revenue & Customs Tax Notification (less than 3 months old), or (b) Original current signed passport\*, or (c) Certified copy of a full UK/EEA driving licence.

Please note that any original documentation provided will be returned as soon as your application has been processed.

If you do not wish to send original documents in the post (see below for passports), you should send copies certified to be true copies of the originals by a regulated entity authorised and regulated by the Financial Services Authority (e.g. a bank) or a government department. The person undertaking the certification must be capable of being contacted. You cannot certify your own documentation.

\*As we are unable to accept certified copies of passports the original item must be submitted as evidence of identity.

**Bank details**  Ensure that you have supplied your bank details and enclosed a copy of your bank statement if your account is not with Lloyds TSB. Note that we only debit your bank account to cover the quarterly fee if there are insufficient funds in your Nominee cash account.

**Stock transfer** (from an approved scheme)  Ensure that you have enclosed any supporting documents as mentioned in part 6 of Section C.

**ISA transfer**  Ensure that you have completed a Lloyds TSB Share Dealing ISA account transfer form (form E) if you wish to transfer an existing ISA from another provider.

**Cash transfer**  Ensure that you have enclosed a cheque if you wish to credit funds to your Lloyds TSB Share Dealing ISA account in this manner.

**Declaration**  This section must be read and signed.

All original documents will be returned to you once your application has been processed.